



PATENT APPLICATION
Our File No. 20010521.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Trevor William Bartlett Swan September 2, 2003
Ser. No. : 10/601,459 Art Unit
Filed : June 23, 2003
For : ANTI-VIBRATION MECHANISM FOR LOCK NUTS

INFORMATION DISCLOSURE STATEMENT UNDER RULE 1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant herein makes available to the Patent and Trademark Office a copy of Form PTO-1449 listing the references.

- ☒ [X] 37 CFR 1.97(b)(1) Within three months of the filing date of the national application. No fee is required.
- ☐ [] 37 CFR 1.97(b)(2) Within three months of the date of entry of the national stage as set forth in . 1.491 in the international application. No fee is required.
- ☐ [] 37 CFR 1.97(b)(3) Before the mailing date of a first Office Action on the merits. No fee is required.
- ☐ [] 37 CFR 1.97(c) After the periods specified in 37 CFR 1.97(b), but before the mailing date of either: (1) a final action under . 1.113 or (2) a notice of allowance under . 1.311, whichever occurs first.
- ☐ [] The undersigned hereby certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart

foreign application not more than three months prior to the filing of this statement; or

[] The undersigned hereby certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in . 1.56(c) more than three months prior to the filing of this statement; or

[] Enclosed is a check in the amount of \$180.00 for the fee set forth in 37 CFR . 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. 1.17, or credit any overpayment, to Deposit Account No. 08-1265.

[] 37 CFR 1.97(d) After the mailing date of either (1) a final action under . 1.113 or (2) a notice of allowance under . 1.311, whichever occurs first, but before payment of the issue fee.

[] The undersigned hereby certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or

[] The undersigned hereby certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in . 1.56(c) more than three months prior to the filing of this statement; and

[] Applicant(s) hereby petition the Commissioner of Patents and Trademarks to consider this information disclosure statement. Enclosed is a check in the amount of \$130.00 for the petition fee set forth in . 1.17(i)(1). The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. 1.17, or credit any overpayment, to Deposit Account No. 08-1265.

The listed documents are brought to the Examiner's attention because they are known to the applicant and/or the applicant's attorney and may be considered by the Examiner to be material to his/her examination. This listing should not be construed as representation that a search has been made or that no better art exists. No inference should be made that the documents are in fact material merely because they are referenced herein. Moreover, no representation is made that any brief descriptions of the references herein necessarily describe the most material aspects of the references. Further, by this listing, the applicant is not making any admission regarding the relative dates of the invention and listed disclosures.

The Examiner is requested to consider carefully the complete text of these documents in connection with the examination of the above-identified application in accordance with 37 CFR 1.104(a). It is requested that the documents (copies enclosed) listed on the attached Form PTO-1449 be included in the "References Cited" portion of any patent issuing from this application (M.P.E.P. 1302.12), and that the Examiner initial and return a copy of the

form to evidence consideration of the documents.

Respectfully submitted,

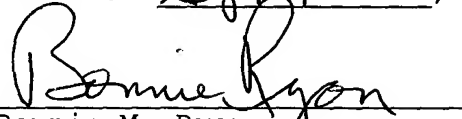
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CERTIFICATE OF MAILING

I hereby certify that the foregoing Information Disclosure Statement Under Rule 1.56, Form PTO-1449 and a copy of each cited reference to be filed in connection with application Serial No. 10/601,459 of inventor(s), Trevor William Bartlett Swan, filed June 23, 2003, for "ANTI-VIBRATION MECHANISM FOR LOCK NUTS" are being deposited with the United States Postal Service as First Class Mail in a box addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Sept 4, 2003.



Bonnie M. Ryan
On Behalf of Thomas J. Nikolai

Sheet 1 of 2

Form PTO 1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)	Docket Number 20010521.ORI	Application Number 10/601,459
	Applicant Trevor William Bartlett Swan	
	Filing Date June 23, 2003	Group Art Unit

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,904,461	5/18/1999	McKarge, Jr.			
	5,433,567	7/18/1995	Ito			
	5,360,304	11/1/1994	Notaro et al			
	5,360,303	11/1/1994	Behrens et al			
	5,219,255	6/15/1993	Hussain et al			
	5,219,254	6/15/1993	Ball, Sr. et al			
	5,184,698	2/9/1993	Coffenberry			
	5,178,500	1/12/1993	Stencel			
	5,049,017	9/17/1991	Reynolds			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	0 230 453 B1	23.02.1994	Europe				
	0 309 129 B1	01.04.1992	Europe				
	WO87/00592	29.01.1987	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Sheet 2 of 2

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number
20010521.ORIApplication Number
10/601,459Applicant
Trevor William Bartlett SwanFiling Date
June 23, 2003

Group Art Unit

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,734,001	3/29/1988	Bennett			
	4,084,630	4/18/1978	Lewis			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLAS S	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.